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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE ATTORNEY'S DOCKET NUMBER 11281-101-999

FORM PTO-1390 (REV. 7-2005)

TRANSMITTAL LETTER TO THE UNITED STATES **DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

U.S. APPLICATION NO. (if known, see 37CFR 1.5)

INTERNATIONAL APPLICATION NO.

INTERNATIONAL FILING DATE

PRIORITY DATE CLAIMED

| PCT/KF | R2004/002450 | September 23, 2004 | October 2, 2003 | | | | | | | | | |
|-------------------|---|---|---|--|--|--|--|--|--|--|--|--|
| | INVENTION PLATE HEAT TRANSFER DEVICE | | • | | | | | | | | | |
| | NT(S) FOR DO/EO/US | <u> </u> | | | | | | | | | | |
| Applica | nt herewith submits to the United States De | signated/ Elected Office (DO/EO/US) the following | ng items and other information: | | | | | | | | | |
| 1. | This is a FIRST submission of items of | oncerning a filing under 35 U.S.C. 371. | | | | | | | | | | |
| 2. | This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. | | | | | | | | | | | |
| 3. | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. | | | | | | | | | | | |
| 4. | The U.S. has been elected (Article 31). | | | | | | | | | | | |
| 5. | A copy of the International Application as filed (35 U.S.C. 371(c)(2)). | | | | | | | | | | | |
| | a. is attached hereto (required only if not communicated by the International Bureau). | | | | | | | | | | | |
| | b. As been communicated by the International Bureau. | | | | | | | | | | | |
| | c. is not required, as the application was filed in the United States Receiving Office (RO/US) | | | | | | | | | | | |
| 6. | An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). | | | | | | | | | | | |
| • | a. is attached hereto. | | | | | | | | | | | |
| 7. | b. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) | | | | | | | | | | | |
| | <u></u> | required only if not communicated by the Internat | | | | | | | | | | |
| | <u> </u> | cated by the International Bureau. | ional Bulcau). | | | | | | | | | |
| | <u>—</u> | however, the time limit for making such amendm | nents has NOT expired. | | | | | | | | | |
| | <u> </u> | and will not be made. | · | | | | | | | | | |
| 8. | An English language translation of the a | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). | | | | | | | | | | |
| ^{9.} 🛛 | An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). | | | | | | | | | | | |
| 10. | An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | | | | | | | | | | |
| Items 1 | 1. to 20. below concern document(s) or in | formation included: | | | | | | | | | | |
| 11. | An Information Disclosure Statement un | nder 37 CFR 1.97 and 1.98. | | | | | | | | | | |
| 12. | An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. | | | | | | | | | | | |
| ¹³ . ⊠ | A preliminary amendment. | | | | | | | | | | | |
| 14. | An Application Data Sheet under 37 CFR 1.76. | | | | | | | | | | | |
| 15. | A substitute specification. | | | | | | | | | | | |
| 16. | A power of attorney and/or change of a | ddress letter. | | | | | | | | | | |
| 17. | A computer-readable form of the sequen | nce listing in accordance with PCT Rule 13ter 2 at | nd 37 CFR 1.821- 1.825. | | | | | | | | | |
| 18. | A second copy of the published Internat | ional Application under 35 U.S.C. 154(d)(4). | | | | | | | | | | |
| 19. | A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4). | | | | | | | | | | | |
| 20. | Other items or information: PCT Requi | est; Forms PCT/ISA/210, PCT/ISA/237, and PCT/ | /IB/306 | | | | | | | | | |
| This coll | ection of information is required by 37 CPR 1.414 a | and 1.49.1-1.492. The information is required to obtain or re | etain a benefit by the public, which is to file (and by the | | | | | | | | | |

USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed from to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450,



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| US APPLICATION No. | 10. Ir k | iown/see 37 CFF | N NO. | | RNEY'S E 1-101-99 | OCKET NUMBER | - | | | | | | | | | |
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| Fee for recording | the en | closed assign | ment (37 CI | FR 1.21 (h)). The assignm | ment must | he | | + | | | | | | | | |
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| Account No. 50-3013. A copy of this sheet is enclosed. Note: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be | | | | | | | | | | | | | | | | |
| filed and granted to restore the International Application to pending status. 24. All correspondence for this application should be mailed to JONES DAY 20583 | | | | | | | | | | | | | | | | |
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